



Attorney's Docket No. 49732-DIV (71117)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Y. Sawada, et al.

U.S.S.N.: 09/618,698

Examiner: Piziali, Jeffrey J.

Filed: July 18, 2000

Group: 2673

For: DISPLAY CONTROL DEVICE AND REPRODUCTION DISPLAY DEVICE FOR  
ELECTRONIC BOOKS

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail and is addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  
ATTENTION: GROUP DIRECTOR on January 21, 2004

By Kathryn A. Grindrod  
Kathryn A. Grindrod

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: GROUP DIRECTOR

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. **LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

II. COPIES

- a.   X   Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b.        This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No.       , filed on       . The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a.   X   Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b.   X   A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:  
See English language Abstracts, (BA – BO), attached to each of the documents cited and provided herewith. Note: the Abstract of Publication 59-180628 corresponds to Japanese Patent Application No. 1-039129.
- c.   X   The following additional information is provided for the Examiner's consideration:
- The documents cited and provided herewith were cited in Japanese Office Actions in Japanese Applications 9-296601 and 10-085405, dated October 21, 2003 respectively in said applications corresponding to this application, (copies of the Japanese Office Actions are attached hereto).
- Please note that all of the references cited in the attached Japanese Office Action are enclosed with the Information Disclosure Statement for the convenience of the Examiner (some of these documents were cited and provided with in previous Information Disclosure Statements in the parent of this divisional application without the enclosed partial translations).
- Please also note that those references indicated as including a translation include only a partial translation i.e., of the section outlined in red in the Japanese language document

**FEES**

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☐ Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).

**OR**

- b. ☒ See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ☒ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

c. \_\_\_\_\_ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

\_\_\_\_\_ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: January 21, 2004

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431074

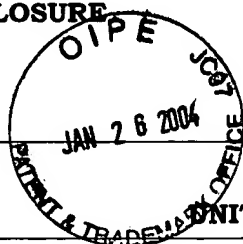
  
SIGNATURE OF PRACTITIONER

David A. Tucker  
(type or print name of practitioner)  
Attorney for Applicant(s)

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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>	<b>DOCKET NO:</b> 49732-DIV (71117)	<b>SERIAL NO.:</b> 09/618,698
	<b>APPLICANT(S):</b> Y. Sawada, et al.	
	<b>FILING DATE:</b> July 18, 2000	<b>GROUP NO.:</b> 2673



**UNITED STATES PATENT DOCUMENTS**

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	BA	01-158485	06/21/1989	JAPAN			Abstract
	BB	08-153114	06/11/1996	JAPAN			Abstract
	BC	04-280376	10/06/1992	JAPAN			Abstract
	BD	08-106469	04/23/1996	JAPAN			Abstract
	BE	59-180628	10/13/1984	JAPAN			Abstract corresponds to Item BF
	BF	1-39129	08/18/1989	JAPAN			No
	BG	64-086191	03/30/1989	JAPAN			Abstract
	BH	02-23469	01/25/1990	JAPAN			Abstract/ Partial Translation
	BI	02-230375	09/12/1990	JAPAN			Abstract/ Partial Translation
	BJ	04-96092	03/27/1992	JAPAN			Abstract/ Partial Translation
	BK	03-87977	04/12/1991	JAPAN			Abstract/ Partial Translation
	BL	02-3872	01/09/1990	JAPAN			Abstract/ Partial Translation

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<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION YES/NO</b>
	BM	04-15876	01/21/1992	JAPAN			Abstract
	BN	01-108678	04/25/1989	JAPAN			Abstract/ Partial Translation
	BO	63-156477	06/29/1988	JAPAN			Abstract/ Partial Translation
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
	CA						
<b>EXAMINER:</b>					<b>DATE:</b>		

